Students are required to take the written qualifier exams no later than the end of the second year after starting the program. Taking the exam in year 1 or 2 is at the discretion of the student’s advisor. Each student has two chances to pass the qualifier exams. If the student fails the second time on the exams, he/she needs to graduate with a MS degree and cannot continue in the PhD program. In case a student cannot appear in the qualifier exam due to a health-related reason or an unforeseen emergency, it is essential to send a written notification to the qualifier exam coordinator including a doctor’s notice. In that case, the student may take the exam at the next available opportunity. Absence of a qualifying exam without notification will be considered equivalent to failing the exam.

Phase I. Written Qualifier. (typically last week of May)

i. On day one all students will take an exam based on the “Introduction to Materials Science” class (MTSE5100) which is currently based on the book by W. Callister “Fundamentals of Materials Science and Engineering”. This is intended as a “leveling” exam since students from different natural science and engineering backgrounds are in the graduate MTSE program. All faculty may participate in writing questions.

ii. On day two students will elect to take a specialty exam on one of the following areas:
   a) Metals and intermetallics
   b) Ceramics
   c) Electronic/optical materials
   d) Polymers and composite materials

These exams will be written by faculty committees with the appropriate specializations. The lead committee members will oversee formation of the committees. The exams will be based on specific elective courses and/or a specific reading list (Book(s), edition, specific chapters or pages) made available by the lead committee member. The exams will incorporate fundamentals from the core classes wherever possible. The core classes may be a part of the reading list.

Phase II. Oral Qualifier / PhD Proposal Defense. (typically last week of August)
Must be completed in the same year during which the student passes the written qualifiers. In case the student passes the written section but fails in the oral section of the qualifiers, he/she may re-appear for the oral section in the subsequent year for one last attempt.

Students will propose and defend a topic that is expected to lead to their PhD dissertation. The topic must therefore be approved by the PhD advisor. The student can consult with their PhD advisor. All faculty may participate in the exam. The PhD committee is expected to participate in the exam. Students will be admitted to Doctoral Candidacy after successfully passing the “PhD Proposal defense”.

The written proposal (~15 pages in length) must be given to all PhD committee members at least one week prior to the student’s scheduled proposal exam date. The student with consultation from their PhD advisor will determine who the members of the committee are. MTSE department requires at least 5 members for a PhD committee four of which must be MTSE faculty (with primary appointments).

Every PhD proposal must be presented within the Heilmeier framework, which consists of a series of questions proposed by Dr. George Heilmeier (the former director of DARPA) to evaluate scientific proposals, and must address the following questions:

1. What are you trying to do? What is the problem? Why is it hard?
2. How is it done today, and what are the limits of current practice?
3. What's new in your approach and why do you think it will be successful?
4. Who cares?
5. If you're successful, what difference will it make? What impact will success have? How will it be measured?
6. What are the risks and the payoffs?
7. How much will it cost? How long will it take?
8. What are the midterm and final "exams" to check for success? How will progress be measured?

For students who pass the qualifier exams (both phase I and II), it is recommended that they do a pre-defense presentation to his/her PhD committee members 6-12 months prior final dissertation defense. The presentation is to identify weaknesses and shortcomings in the research, and make specific, actionable recommendations to strengthen the dissertation. It is expected that all recommendations would have been implemented by the student at the time of final dissertation defense.

For students who change their advisor after partially or fully passing the Ph.D. qualifying exams, he/she needs to retake the Phase I specialty exam and/or Phase II oral exam in the next exam cycle (usually in the following year). If the research specialty (defined based on the four specialties offered in the Phase I of the qualifying exam) related to the student’s dissertation research changes due to the change in advisor, the student needs to retake both the specialty exam of Phase I as well as the Phase II exam. If the research specialty remains

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the same after the change of advisor, then the student only takes the Phase II portion of the qualifying exam in the following year. A departmental “Change of Advisor” form must be completed and signed by the concerned student as well as by both the new and original advisors. Additionally, a written explanation of the reason for the advisor change must be included in this form. The completed form also needs to be approved by the departmental Graduate Advisor and Chair.